



# OFFICE OF THE CITY AUDITOR COLORADO SPRINGS, COLORADO

## 14-31 Colorado Springs Utilities SharePoint Audit

November 2014

### **Purpose**

The purpose of this audit was to evaluate the governance processes surrounding the SharePoint web application as well as gain an understanding of the utilized relevant information system management processes.

Information technology (IT) governance can be defined as:

*...the responsibility of executives and the board of directors, and consists of the leadership, organizational structures and processes that ensure that the enterprise's IT sustains and extends the organization's strategies and objectives.<sup>1</sup>*

<sup>1</sup> ISACA, Board Briefing on IT Governance, 2nd Edition, USA, 2003

### **Conclusion**

We concluded the internal control environment related to the use of SharePoint was adequate and effective. The internal controls for the SharePoint environment were being directed by a governance process that had been adequately defined and implemented.

We are not including details concerning any potential vulnerabilities (or strengths) related to the security of those Colorado Springs Utilities facilities/functions. Disclosure of this information to the public would be contrary to the public interest in improving or maintaining secure information technology systems for Colorado Springs Utilities. The details of this audit are not required to be released to the public per C.R.S. § 24-72-204(2)(a)(VIII)(A).

Respectfully,

Denny L. Nester, MBA CPA CIA CFE CGFM CGAP  
City Auditor

This audit was conducted in conformance with the International Standards for the Professional Practice of Internal Auditing, a part of the Professional Practices Framework promulgated by the Institute of Internal Auditors.

City Council's Office of the City Auditor  
City Hall, 107 North Nevada Ave. Suite 205, Mail Code 1542, Colorado Springs CO 80901-1575  
Tel 719-385-5991 Fax 719-385-5699 Reporting Hotline 719-385-2387  
[www.SpringsGov.com/OCA](http://www.SpringsGov.com/OCA)